

**By E-filing**

REF:TTL:SE:

Date: April 10, 2024

The Deputy General Manager Department of Corporate Services, BSE Limited 1 <sup>st</sup> Floor, New Trading Ring, Rotunda Building, P.J. Tower, Dalal Street, Fort, MUMBAI - 400 001	The Asst. Vice President, Listing Department National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G Block, Bandra-Kurla Complex, Bandra (E), MUMBAI - 400 051
<b>STOCK CODE: 533655</b>	<b>STOCK CODE: TRITURBINE</b>
<b>Sub: Filing under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations") of the Compliance Certificate in terms of Regulation 40(9) of the Listing Regulations for the year ended March 31, 2024</b>	

Dear Sir/Ma'am,

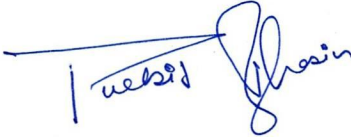
Pursuant to Regulation 40(10) of the Listing Regulations, we have enclosed herewith the certificate in terms of Regulation 40(9) of the Listing Regulations, issued by Mr. Suresh Gupta, Proprietor of M/s. Suresh Gupta & Associates, Company Secretaries for the period April 1, 2023 to March 31, 2024.

You are requested to kindly take the same on your record.

Thanking you,

Yours' faithfully,

For TRIVENI TURBINE LTD.



**Pulkit Bhasin**  
Company Secretary  
M. No. A27686

# SURESH GUPTA & ASSOCIATES

## COMPANY SECRETARIES

204, Silver Complex, A-73, Opp. Metro Pillar No. 60, Near Nirman, Vihar Metro Station,  
Laxmi Nagar, Delhi-110092

Mob. 9312257002, Ph. 011-43061664, Mail: [sureshguptacs@gmail.com](mailto:sureshguptacs@gmail.com), [www.sureshgupta.in](http://www.sureshgupta.in)

---

### **CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2024.**

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to M/s Triveni Turbine Limited at A-44, Hosiery Complex, Phase – II Extn., Noida – 201 305 (U.P.) maintained by their Registrar and Transfer agent M/s Alankit Assignments Limited pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April 2023 to 31st March, 2024 for the purpose of issuing a Certificate as per Sub-regulation (9) of regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, by M/s Triveni Turbine Limited and based on the information provided by the Company and Share Transfer Agent, we hereby certify that during the year ended on 31<sup>st</sup> March, 2024.

- A) There is no lodgment of any transfer deed for transfer during the period,
- B) Processed all transmission/name deletion/change of name,
- C) There was 1 case containing 2 shares for issue of duplicate shares certificates, and
- D) There was no case for remat, sub-division, split, consolidation, renewal, exchange of certificates and endorsement for payment of call / allotment money.

*For Suresh Gupta & Associates  
Company Secretaries*



*Suresh Gupta  
(Proprietor)*

*FCS No.:5660*

*CP No.:5204*

*Peer Review Cert. No. 740/2020*

*UDIN: F005660F000071638*

*Date :10/04/2024*

*Place: Noida*